

THE ROYAL

PHILOSOPHICAL TRANSACTIONS

MATHEMATICAL, PHYSICAL & ENGINEERING

THE ROYAL

PHILOSOPHICAL TRANSACTIONS

ð

Ь

The first galaxies: clues from element abundances

THE ROYAL SOCIETY

Max Pettini

OF -

Phil. Trans. R. Soc. Lond. A 2000 358, 2035-2048 doi: 10.1098/rsta.2000.0628

Email alerting service

Receive free email alerts when new articles cite this article - sign up in the box at the top right-hand corner of the article or click here

MATHEMATIC PHYSICAL & ENGINEERI SCIENCES

To subscribe to Phil. Trans. R. Soc. Lond. A go to: http://rsta.royalsocietypublishing.org/subscriptions 10.1098/rsta.2000.0628

NGINEERING

ATHEMATICAL

THE ROYAL

PHILOSOPHICAL TRANSACTIONS

ATHEMATICAL

PHILOSOPHICAL TRANSACTIONS



The first galaxies: clues from element abundances

Downloaded from rsta.royalsocietypublishing.org

By Max Pettini

Institute of Astronomy, Madingley Road, Cambridge CB3 0HA, UK

It has recently become possible to measure directly the abundances of several chemical elements in a variety of environments at redshifts up to $z \simeq 5$. In this review I summarize the latest observations of Lyman-break galaxies, damped Ly α systems and the Ly α forest with a view to uncovering any clues that these data may offer to the first episodes of star formation. The picture that is emerging is one in which the Universe at z = 3 already included many of the components of today's galaxies;

even at these early times we see evidence for Populations I and II stars, while the 'smoking gun' for Population III objects may be hidden in the chemical composition of the lowest density regions of the intergalactic medium, yet to be deciphered.

Keywords: element abundances; cosmic chemical evolution; high redshift galaxies

1. Introduction

The aim of this paper is to consider the information on the first episodes of star formation in the Universe provided by studies of element abundances at high redshift. This is very much a growth area at present. Thanks largely to the new opportunities offered by the Keck telescopes and their Very Large Telescope (VLT) counterparts in the Southern Hemisphere, we find ourselves in the exciting position of being able. for the first time, to measure directly the abundances of a wide range of chemical elements in stars, HII regions, cool interstellar gas, and hot intergalactic medium (IGM), all observed when the Universe was only approximately one-tenth of its present age. Our simple-minded hope is that, by moving back to a time when the Universe was young, clues to the nature, location and epoch of the first generations of stars may be easier to interpret than in the relics left today, some 11 Gyr later. \geq Furthermore, as we shall see, the metallicities of different structures in the Universe \Box and their evolution with redshift are key factors to be considered in our attempts to track the progress of galaxy formation through the cosmic ages. As can be readily • appreciated from inspection of figure 1, our knowledge in this field is still very sketchy. \bigcirc Given the limitations of space, this review focuses primarily on results obtained in \checkmark the last year on the three components of the high-z Universe shown in figure 1.

2. Lyman-break galaxies (LBGs)

Undoubtedly, one of the turning points in extragalactic astronomy in the 1990s has been the realization that high-redshift galaxies can be found in large numbers using a highly efficient photometric selection technique based on the passage of the Lyman

Phil. Trans. R. Soc. Lond. A (2000) 358, 2035-2048

2035

Downloaded from rsta.royalsocietypublishing.org



MATHEMATICAL, PHYSICAL & ENGINEERING SCIENCES

TH

PHILOSOPHICAL TRANSACTIONS 2036



Figure 1. Abundances at high redshift (z = 3). Summary of our current knowledge of abundances at high redshift. Metallicity is on a log scale relative to solar, and N(HI) is the column density of neutral hydrogen measured in the Ly α forest, damped Ly α systems (DLAs) and LBGs.

edge—at the rest wavelength of 912 Å—through the U-band. After many years of fruitless searches (targeted mainly to Ly α emission, which turned out to be a lessreliable marker than anticipated), we have witnessed a veritable explosion of data from the Hubble deep fields (HDFs) and ground-based surveys. Galaxies with measured redshifts in excess of $z \simeq 2.5$ now number in the many hundreds (the 1000 mark is just around the corner); such large samples have made it possible to trace the star-formation history of the Universe over most of the Hubble time and to measure large-scale properties of the population, most notably their clustering and luminosity functions (Madau *et al.* (1996); Steidel *et al.* (1998, 1999), and references therein).

However, for a quantitative study of many of the physical properties of LBGs, even the light-gathering power of the world's largest telescopes is not enough and we have to rely on gravitational lensing to boost the flux to levels where their spectra can be recorded with sufficiently high resolution and signal-to-noise ratio. This is the case for the z = 2.73 galaxy MS 1512-cB58, an L^* LBG fortuitously magnified by a factor of approximately 30 by the foreground cluster MS 1512+36 at z = 0.37 (Yee *et al.* 1996; Seitz *et al.* 1998). Somewhat ironically, our Keck spectrum of cB58 (Pettini *et al.* 2000*b*) is one of the best examples of the ultraviolet spectrum of a starburst galaxy *at any redshift*, thanks to the combined effects of gravitational lensing, redshift, and collecting area of the Keck telescopes.

At $z \simeq 3$, optical wavelengths correspond to the rest-frame far-ultraviolet (far-UV), where we see the integrated light of short-lived O and early B stars. Such spectra are most effectively analysed with population synthesis models, the most sophisticated of which is *Starburst 99* developed by the Baltimore group (Leitherer *et al.* 1999). In figure 2 we compare *Starburst 99* model predictions for different initial mass functions (IMFs) with our data in the region of the CIV $\lambda\lambda$ 1548,1550 doublet. It is important to realize that the comparison only refers to *stellar* spectral features and does not include the *interstellar* (IS) lines, readily recognizable by their narrower widths (these IS lines are much stronger in cB58, where we sample the whole interstellar medium (ISM) of the Galaxy, than in the models that are based on libraries of nearby galactic O and B stars). With this clarification, it is evident from figure 2 that the spectral properties of at least this LBG are remarkably similar



Figure 2. Comparisons between Starburst 99 (Leitherer et al. 1999) population synthesis models with different IMFs (grey lines) and the Keck spectrum of MS 1512-cB58 analysed by Pettini et al. (2000b) in the region near the CIV doublet (black histogram). (a) Salpeter IMF; (b) $M_{\rm up} = 30 M_{\odot}; \ (c) \ \alpha = 1.0.$

to those of present-day starbursts; a continuous star-formation model with a Salpeter IMF provides a very good fit to the observations. In particular, the P-Cygni profiles of CIV, Si IV and N V are sensitive to the slope and upper mass limit of the IMF; the best fit in cB58 is obtained with a standard Salpeter IMF with slope $\alpha = 2.35$ and $M_{\rm up} = 100 M_{\odot}$ (figure 2a). IMFs that are either lacking in the most massive stars or, conversely, top-heavy seem to be excluded by the data (parts (a) and (b) of \checkmark figure 2, respectively).

The only significant difference between the observed and synthesized spectrum is in the optical depth of the P-Cygni absorption trough, which is lower than predicted (figure 2a). This is likely to be an abundance effect, since an analogous weakening of the absorption is seen in OB stars with mass loss in the Magellanic Clouds (see, for example, Lennon 1999) and is also predicted by stellar wind theory (see, for example, Kudritzki 1998). In future, when the libraries of stellar spectra in *Starburst 99* are

Phil. Trans. R. Soc. Lond. A (2000)

THE ROYA

ATHEMATICAI

T



IATHEMATICAL, HYSICAL ENGINEERING CIENCES

THE

PHILOSOPHICAL TRANSACTIONS 2038



Figure 3. K-band spectrum of the LBG Q0201-C6 (z = 3.055 (NIRSPEC R = 1800, 5400 s)). The dashed vertical lines indicate the locations of OH emission lines from the night sky; although the lines have been subtracted out the spectrum remains very noisy at these wavelengths. It is thus essential to select LBGs at redshifts that place the nebular lines of interests in the gaps between OH emission, as is the case here.

expanded to include Magellanic Cloud stars (a project that is already underway), it may be possible to calibrate the optical depth of CIV absorption with carbon abundance and use this feature to deduce the metallicity of high-redshift star-forming galaxies. For the moment, we conclude, on the basis of a qualitative comparison, that the metallicity of the young stellar population in cB58 is similar to that in the Large Magellanic Cloud, where $[C/H] \simeq -0.6$. Weak interstellar lines of sulphur, silicon and nickel are consistent with this abundance estimate.

(a) Moving to the infrared

Very recently, the successful commissioning of NIRSPEC on Keck II and ISAAC on VLT1 have made it possible to extend spectroscopic studies of LBGs to the near infrared, which, at $z \simeq 3$, includes the familiar optical emission lines from HII regions on which much of our knowledge of local star-forming galaxies is based. As indicated by exploratory observations with UKIRT (Pettini *et al.* 1998), detecting these lines is a challenging task even with large telescopes, so that we may be restricted to studying the brightest examples of LBGs, with $L \gtrsim L^*$. Figure 3 shows examples of such data. The relative strengths of [OIII] and H β in Q0201-C6 are typical of the dozen or so objects observed so far; we find that generally $I_{\rm H\beta} \lesssim I_{4959}$ and $R_{23} \gtrsim +0.7$, where R_{23} is the familiar strong line ratio index of Pagel *et al.* (1979). This in turn implies abundances of approximately one-third to one-sixth solar; as shown by Teplitz *et al.* (2000), cB58 conforms to this pattern with [O/H] $\simeq -0.5$, in good agreement with the UV analysis discussed above.

(b) Kinematics

The combination of (rest-frame) optical and UV observations gives insights into several other properties of LBGs, apart from chemical abundances. The widths of the emission lines are likely to be better indicators of the underlying masses than the interstellar absorption lines, which, being sensitive to very low column densities, can be broadened by gas accelerated to high velocities by supernovae and stellar winds associated with the star-formation activity. A preliminary analysis of

Phil. Trans. R. Soc. Lond. A (2000)

ATHEMATICA

T

PHILOSOPHICAL TRANSACTIONS





Figure 4. Emission linewidths in LBGs at $z \simeq 3$ and in local galaxies. The horizontal bar spans the range of widths at 20% peak intensity of [OIII] lines in a dozen LBGs, while the vertical lines at each end of the bar indicate the range of luminosities sampled (M. Pettini *et al.*, unpublished data). The light-coloured triangles are the most reliable H β measurements for HII galaxies by Melnick *et al.* (2000), while the filled dots are [OII] measurements in a variety of nearby star-forming galaxies by Kobulnicky & Gebhardt (2000). Also shown is the relation for local spirals based on HI 21 cm rotation curves (broken line) and its 3σ limits (dotted lines; see Pierce & Tully (1992)). A $H_0 = 50 \text{ km s}^{-1} \text{ Mpc}^{-1}$, $\Omega = 1$ cosmology was adopted.

the dozen objects in our sample indicates velocity dispersions $\sigma \simeq 60-120 \text{ km s}^{-1}$; $\sigma \simeq 80 \text{ km s}^{-1}$ seems to be typical.

In figure 4 we compare these values with analogous data for nearby galaxies. W_{20} is full width at 20% of the peak intensity ($W_{20} = \sigma \times 3.62$ for a Gaussian profile), which, in the LBGs, we measure most accurately from $[OIII]\lambda 5007$, and $M_{\rm B}$ is the absolute magnitude in the rest-frame B-band, which, at $z \simeq 3$, can be deduced directly from the observed K-band magnitude without the need for a substantial k-correction. The horizontal bar in figure 4 shows the range of values of W_{20} for the LBGs observed so far, which are mostly at the bright end of the luminosity function, with $M_{\rm B} = -22$ to -23 (as indicated by the vertical bars). The most appropriate comparison is probably with the compilation of [OII] widths in local star-forming galaxies (filled dots) by Kobulnicky & Gebhardt (2000), who mimicked the conditions under which these measurements are conducted at high redshift by obtaining global values of W_{20} that refer to a *whole* galaxy, and did not correct for inclination and internal extinction. As can be seen from figure 4, the widths of the emission lines in the brighter LBGs are at the upper end of the range of values observed locally, and are significantly larger than those of HII galaxies (triangles). Thus, the typical $\sigma \simeq 80 \text{ km s}^{-1}$ of LBGs is the value that one may expect from a disc galaxy (viewed at a random inclination) rotating at $ca. 150 \text{ km s}^{-1}$. Indeed, there are hints in two of the objects observed \sim that we may be seeing the rotation curve directly in spatially resolved [OIII] λ 5007 emission lines. Kinematic masses in excess of a few times $10^{10} M_{\odot}$ are indicated.

Also shown in figure 4 is the Tully–Fisher relation for local spirals. This comparison is less straightforward, because the Tully–Fisher relation is derived from spatially resolved rotation curves corrected for inclination and internal extinction; we have tried to take these factors into account in a statistical sense when reproducing the mean relation by Pierce & Tully (1992) in figure 4. Vogt *et al.* (1997) found a mild

M. Pettini

ATHEMATICAI

THE ROYAL

PHILOSOPHICAL TRANSACTIONS

AATHEMATICAL, HYSICAL & ENGINEERING CIENCES

ROYA

50

IETY

A Thursday A SICAL ENGINEERING brightening, by ≤ 0.4 mag, of the relation in a sample of 16 galaxies at 0.15 < z < 0.75 and interpreted it as being due to luminosity evolution in the field galaxy population (the Vogt et al. (1997) data are, like ours, based on observations of [OII] and [OIII] emission lines from HII regions). Taken at face value, the very preliminary comparison in figure 4 suggests a much more significant luminosity evolution when we look back to $z \simeq 3$, perhaps amounting to as much as two magnitudes in the B-band.

Finally, we find that there are systematic velocity offsets between nebular emission lines, interstellar absorption lines, and $Ly\alpha$ in most LBGs observed; these offsets can be explained as resulting from large-scale outflows with velocities of up to several hundred kilometres per second. In cB58 Pettini *et al.* (2000b) deduced a mass outflow rate $\dot{M} \simeq 60 M_{\odot} \, \mathrm{yr}^{-1}$, comparable with the rate at which gas is being turned into stars. Such galactic 'superwinds' seem to be a common feature of starburst galaxies at all redshifts, and may well be the mechanism that self-regulates star formation, distributes metals over large volumes and allows the escape of ionizing photons into the IGM.

In summary, all the available information is consistent with the notion that LBGs are already well-developed systems at $z \simeq 3$, with stellar populations, chemical abundances and kinematics very much in line with those of the more-massive star-forming galaxies in the local Universe. As explained above, the best-studied examples so far are all at the bright end of the luminosity function; thus, perhaps we should not be surprised to find that their properties are relatively uniform. What is interesting is that galaxies at such an advanced stage of evolution were already in place at the relatively early epochs corresponding to z = 3-4; therefore, it seems most natural to associate these objects with the progenitors of today's elliptical galaxies and bulges of spirals, as proposed by Steidel *et al.* (1996).

3. Damped Ly α systems

These are the absorption systems with the highest column density of neutral hydrogen, $N(\text{HI}) \ge 2 \times 10^{20} \text{ cm}^{-2}$, seen in the spectra of quasi-stellar objects (QSOs), and they provide us with the best opportunity to measure accurately the abundances of a wide range of elements at high redshift. The reason is simple: QSOs can be several hundred times brighter than LBGs at the same redshift. Surveys with the High Resolution Spectrograph (HIRES) on Keck I have produced data of exquisite quality: a 10% accuracy in the determination of interstellar gas-phase abundances is achievable with only modest efforts (Lu et al. 1996; Prochaska & Wolfe 1999).

This makes it all the more frustrating that a clear connection between damped $Ly\alpha$ systems (DLAs) and galaxies has yet to be established. In principle, selecting galaxies by their HI absorption cross-section should provide a more representative sampling of the field population at a given redshift than conventional magnitudelimited surveys, either in the continuum or emission lines. Thus, one may conjecture that DLAs pick out galaxies from the whole luminosity function, particularly if HI cross-section has only a mild dependence on galaxy luminosity (Steidel et al. 1994), and that LBGs may just be the most luminous DLAs at $z \simeq 3$ (Pettini *et al.* 2000*b*; Steidel et al. 1995; Djorgovski et al. 1996). While this interpretation is attractive in its simplicity, we must face up to the fact that it cannot readily account for the most recent observations of DLAs (reproduced in figure 5), which find no significant

ATHEMATICAL, IYSICAL ENGINEERING

I

PHILOSOPHICAL TRANSACTIONS





Figure 5. (Lack of) redshift evolution in the comoving mass density ((a), reproduced from Rao \mathcal{O} & Turnshek (2000); $H_0 = 65 \text{ km s}^{-1} \text{ Mpc}^{-1}$, $\Omega = 1$) and metallicity ((b), from Pettini *et al.* (1999)) of damped Ly α systems.

evolution of either the gas mass or the metallicity (Rao & Turnshek 2000; Pettini *et al.* 1999; Prochaska & Wolfe 2000) over a redshift interval ($z \simeq 0.5$ –4) during which most of today's stars were apparently formed (see fig. 7 in Pettini 1999). Possibly, existing samples of damped Ly α systems are subject to subtle selection effects of their own and may preferentially trace a particular stage in the evolution of galaxies, when the gas has an extended distribution and only moderate surface density, and the metal, and therefore dust, content is low. There is both theoretical (Mo *et al.* 1999) and observational (Le Brun *et al.* 1997; Rao & Turnshek 1998) evidence in support of this picture.

These latest developments do not detract from our interest in damped Ly α systems. First, as emphasized repeatedly by Fall and co-workers (Fall 1996), the column density-weighted mean metallicity of DLAs is the closest measure we have of the degree of metal enrichment reached by the gaseous component of galaxies at a given epoch, irrespective of the precise nature of the absorbers. Thus, values of $\langle Z_{\rm DLA} \rangle$ at different redshifts (so far most effectively deduced from the abundance of Zn; see Pettini et al. (1999)) are essential reference points for models of global chemical evolution (Prantzos & Silk 1998; Pei et al. 1999). The only uncertainty that remains to be resolved for a full use of this information is the degree to which existing samples of DLAs are biased against sight-lines sufficiently dusty to obscure the background QSOs; this is a question that we are in the process of exploring by examining the statistics of damped systems in radio-selected QSOs. At redshifts of $z \simeq 2-3$, the metallicity distribution of known DLAs is intermediate between those of stars in the halo and thick disc of the Milky Way; at this epoch most of the galaxies giving rise to damped systems were clearly less evolved chemically than the stellar population forming the thin disc of our Galaxy (see figure 6).

Second, DLAs present us with the opportunity to extend local studies of the relative abundances of different elements to unexplored regimes and to earlier epochs. Potentially, DLAs have an important role to play here in complementing the information so far obtained from observations of galactic stars and nearby HII regions and providing fresh clues both to the origin of different stellar populations and to the stellar yields.

For example, Pettini *et al.* (1995) and Lu *et al.* (1998*a*) showed that in DLAs it is possible to follow the behaviour of the (N/O) ratio to lower metallicities than





Figure 6. Metallicity distributions, normalized to unity, of DLAs at $z \simeq 2-3$ and of stars belonging to the disc and halo populations in the Milky Way (see Pettini *et al.* (1997) for references to the sources of data and further details). (a) —, DLAs; – –, halo stars. (b) —, DLAs; – –, thick disc stars. (c) —, DLAs; – –, thin disc stars.

those probed up to now (IZw18 still remains the most metal-poor star-forming region known in our vicinity). Their results appear to lend support to the idea of a delayed production of primary nitrogen by intermediate mass stars, although this interpretation has been challenged more recently (Centurión *et al.* 1998; Izotov & Thuan 1999; see also Pilyugin 1999) and more observations are clearly required in order to settle the issue.

The latest application of this technique involves silicon (an α -capture element) and manganese. In figure 7 (reproduced from Pettini *et al.* 2000*a*), the abundances of these two elements in damped Ly α systems of different metallicities are compared with analogous data for stars in the disc and halo of our Galaxy. The DLAs considered are those where less than 50% of Si, Mn and Fe is locked up in dust grains, so that

Phil. Trans. R. Soc. Lond. A (2000)

MATHEMATICAL, PHYSICAL & ENGINEERING

THE ROYAI

PHILOSOPHICAL TRANSACTIONS

ATHEMATICAL, HYSICAL ENGINEERING

AYO

THE



Figure 7. Metallicity dependence of the abundances of Si and Mn. Small dots are values in galactic stars from Edvardsson *et al.* (1993) and Nissen & Schuster (1997) for Si, and from Nissen *et al.* (2000) for Mn. The continuous thin lines in (*a*) show the range (upper and lower quartiles) spanned by the compilation of measurements in halo stars by Ryan *et al.* (1996). The other symbols refer to damped $Ly\alpha$ systems.

the total (gas plus dust) abundances can be recovered with minimum uncertainty. The first-order conclusion is that the DLA values roughly follow the local trends, but there are notable differences too, as we now discuss.

The rise of [Si/Fe] from 0.0 to between +0.3 and +0.4 as the metallicity drops to [Fe/H] = -2 (figure 7*a*) is the well-known overabundance of the α -elements commonly attributed to the delayed production of additional Fe by Type Ia supernovae. While some DLAs do show enhanced [Si/Fe], we also find counter-examples of near-solar abundance of Si at metallicities in the range $[Fe/H] \simeq -1$ to -2. Current wisdom would interpret such cases as arising in galaxies where star formation has proceeded slowly, or in bursts, so that there has been sufficient time for Fe to build up to common the sum of the solar abundance of Si at the specific transmission of the specific transmission of the specific transmission of the specific transmission of the specific transmission.

up to solar abundance relative to Si, while the overall metallicity remained low. Corroborating evidence for this interpretation may be provided by deep imaging of the absorbers, if they are found to be low-surface-brightness or dwarf galaxies.

Turning to Mn (figure 7b), the strong decrease in [Mn/Fe] towards low metallicities is now well documented, but its origin is unclear. Two possibilities have been proposed and both have problems with the DLA data, at least at face value. If the stellar trend is due to a metallicity-dependent yield of Mn in massive stars, it is difficult to explain the one DLA with [Mn/Fe] $\simeq -0.3$ at solar [Fe/H]. On the other hand, enhanced (relative to Fe) production of Mn in Type Ia supernovae cannot explain DLAs with low [Mn/Fe] and near-solar [Si/Fe], of which there are at least two examples.

In concluding this section, it is important to stress the preliminary nature of the above conclusions, which are based on the comparison of very few measurements in DLAs with a much larger body of stellar data. One of the lessons from stellar work is that there is considerable scatter, both observational and intrinsic, in the relative abundances of different elements, so that most trends only become apparent when a

ATHEMATICAL, HYSICAL ENGINEERING

THE ROYAI

PHILOSOPHICAL TRANSACTIONS 2043

Phil. Trans. R. Soc. Lond. A (2000)

M. Pettini

2044

HYSICAL ENGINEERING CIENCES

AATHEMATICAL, HYSICAL & ENGINEERING CIENCES

THE

PHILOSOPHICAL TRANSACTIONS

ATHEMATICA

large set of measurements has been assembled. Thus, figure 7 should be taken as no more than an illustration of the issues that can be addressed with surveys of element abundances in damped systems. Although work on element ratios in high-redshift galaxies is still a long way behind its counterpart in galactic stars, it may well play a decisive role in resolving some outstanding questions on the origin of elements.

4. The Ly α forest

THE ROYAL SOCIETY The final component of the $-\alpha$ all-pervading IGM, which manifests itself as fluctuating absorption of $-\alpha$ Ly α emission line of every QSO. Observationally, the term Ly α forest is used to indicate the bulk of discrete Ly α absorption lines with column densities in the range The final component of the high-redshift Universe considered in this review is the of the baryons at $z \simeq 3$ (Rauch 1998). Hydrodynamical simulations have shown that the Ly α forest is a natural consequence of structure formation in a Universe PHILOSOPHICAL TRANSACTIONS dominated by cold dark matter and bathed in a diffuse ionizing background (see, for example, Weinberg et al. 1998). In this picture, the physics of the absorbing gas is relatively simple and the run of optical depth $\tau(Ly\alpha)$ with redshift can be thought 50 of as a 'map' of the density structure of the IGM along a given line of sight. At low densities, where the temperature of the gas is determined by the balance between photoionization heating and adiabatic cooling, $\tau(Ly\alpha) \propto (1+\delta)^{1.5}$, where δ is the overdensity of baryons, $\delta \equiv (\rho_{\rm b}/\langle \rho_{\rm b} \rangle - 1)$. At z = 3, $\tau({\rm Ly}\alpha) = 1$ corresponds to a region of the IGM that is just above the average density of the Universe at that time $(\delta \approx 0.6).$

The lack of associated metal lines was originally one of the defining characteristics of the Ly α forest and was interpreted as evidence for a primordial origin of the clouds (Sargent et al. 1980). As is often the case, subsequent improvements in the observations have shown this to be an oversimplification, and, in reality, weak metal absorption, principally by CIV, is present at the redshift of most $Ly\alpha$ clouds down to the detection limit of the data (Songaila & Cowie 1996). The degree of metal enrichment implied is relatively high, $([C/H] \simeq -2.5)$ with a scatter of perhaps a factor of approximately 3; see Davé et al. (1998)), in the sense that stars with significantly lower metallicities are known to exist in the halo of our Galaxy.

It is not easy to understand how the low-density IGM came to be polluted so uniformly by the products of stellar nucleosynthesis at such an early epoch. While, as explained above, we see directly the outflow of metal-enriched gas in 'superwinds' \succ from LBGs at the same redshift, most of this gas is not expected to travel far from the production sites, because it is either trapped by the gravitational potential of the galaxies, if they are sufficiently massive, or is confined by the pressure of the hot IGM (Ferrara et al. 2000). Whether an early episode of pre-galactic star formation is required depends on whether CIV lines continue to be seen in $Ly\alpha$ clouds of diminishing HI column density. Current limits are for $N(\text{HI}) \gtrsim 3 \times 10^{14} \text{ cm}^{-2}$ (some 75%) of such Ly α clouds have associated CIV absorption; see Songaila & Cowie (1996)) corresponding to moderately overdense gas ($\delta \gtrsim 10$), which, in the simulations, is preferentially found in the vicinity of collapsing structures and may thus reflect local, rather than universal, metal pollution.

The detection of CIV lines when $N({\rm HI}) \lesssim 1 \times 10^{14}$ is a challenging task, even with a 10 m telescope, because we are dealing with observed equivalent widths $W_{\lambda}(1550) \lesssim$

ATHEMATICAL, IYSICAL ENGINEERING

PHILOSOPHICAL TRANSACTIONS

MATHEMATICAL

Η

PHILOSOPHICAL TRANSACTIONS



Figure 8. CIV column density distribution in Q1422+231 at $\langle z \rangle \simeq 3.15$ (reproduced from Ellison *et al.* (2000)); f(N) is the number of CIV systems per column density interval and per unit redshift path. The filled circles are the data grouped into bins of 0.3 in log N(CIV) for display purposes. The line shows the best fitting power-law slope $\alpha = 1.44$, assuming the distribution to be of the form $f(N) dN = BN^{-\alpha} dN$. The open circles show the values corrected for incompleteness at the low column density end; the correction factors were estimated with the aid of simulations, which showed that only *ca.* 43% and *ca.* 23% of CIV lines with log N(CIV) = 12.05 and 11.75, respectively, are typically recovered from the data. Once these correction factors are introduced, there is no indication of a turnover in the column density distribution down to the lowest values of N(CIV) reached up to now.

lowest values of N(CIV) reached up to now. 2.5 mÅ. A possible approach in these circumstances is to try and recover such a weak signal from a statistical treatment of many lines that, individually, are below the detection limit. Unfortunately, different analyses have reached conflicting conclusions (Lu *et al.* 1998*b*; Cowie & Songaila 1998). Furthermore, a recent reappraisal of the techniques with the help of extensive simulations of the spectra has indicated that many subtle effects, such as small random differences between the redshifts of Ly α

and CIV absorption, make the interpretation of the results far from straightforward (Ellison *et al.* 1999).

A more direct way to tackle the problem is to push the detection limit further by securing spectra of exceptionally high signal-to-noise ratio; as for LBGs, this is most effectively achieved with the aid of gravitational lensing. In this way, Ellison *et al.* (2000) were able to reach a signal-to-noise ratio of 200–300 in the CIV region between z = 2.91 and 3.54 of the gravitationally lensed QSO Q1422+231 after adding together data recorded over several nights with the HIRES on Keck I.

As can be seen from figure 8, the number of weak CIV lines continues to rise as the signal-to-noise ratio of the spectra increases and any levelling off in the column density distribution presumably occurs at $N(\text{CIV}) < 5 \times 10^{11} \text{ cm}^{-2}$. This limit is one order of magnitude more sensitive than those reached previously. In other words, we have yet to find any evidence in the Ly α forest for regions of the IGM that are truly

M. Pettini

of primordial composition or have abundances as low as those of the most metal-poor stars in the Milky Way halo. Pushing the sensitivity of this search even further is certainly one future goal.

5. Conclusions

This review has covered a lot of ground, reflecting the fast pace of progress in the study of element abundances at high redshift, now set to accelerate further with the forthcoming availability of new, efficient spectrographs on Keck, VLT, Subaru and Gemini. The picture that is emerging is that of a Universe at $z \simeq 3$ with many of today's characteristics already in place. At this epoch, LBGs closely resembled today's star-forming galaxies dominated by Population I stars, damped $Ly\alpha$ systems exhibited mostly Population II chemical abundances, and the low-density $Ly\alpha$ forest may well have been the repository of the first heavy elements synthesized by Population III stars. This does not necessarily imply a one-to-one correspondence between these objects then and now, given the substantial time interval available for evolution. It is likely that LBGs, which, at $z \simeq 3$, trace the highest peaks in the underlying mass distribution, have, through subsequent mergers, evolved into today's massive ellipticals and bulges to be found preferentially in rich clusters. It is also plausible that the gas giving rise to at least some high-redshift damped $Ly\alpha$ systems has turned into the stars of today's spiral galaxies. And the heavy elements ejected into the IGM by the first stars that formed in low-mass collapsed structures have, by now, presumably been augmented by the much more substantial metal-enriched outflows from successive generations of stars in more massive galaxies. Thus, the lack of a clear age-metallicity relationship in our own Galaxy is reflected on a much larger scale by the Universe as a whole; old (and high redshift) do not necessarily mean metal poor.

What is clear is that much work still needs to be done before we have a full picture of the chemical enrichment of the Universe at $z \simeq 3$. The very substantial gaps in our knowledge evident in figure 1 are reflected by the results of a simple accounting exercise. As discussed by Pettini (1999), and more recently by Pagel (2000), the comoving density of metals so far detected in the Ly α forest, damped Ly α systems and LBGs accounts for only ca. 10% of the total metal production associated with the star-formation activity we see *directly* at $z \gtrsim 3$. Presumably then, as now, at least some if not most of the 'missing metals' are to be found in hot gas—in galactic halos and (proto)clusters—that has not yet been fully accounted for, mainly because we remain ignorant of both its metallicity and baryon content. Food for thought, as we enter the new millennium.

I acknowledge my collaborators in the various projects described in this paper, particularly Chuck Steidel, Sara Ellison, Kurt Adelberger, David Bowen, Len Cowie, Jean-Gabriel Cuby, Mark Dickinson, Mauro Giavalisco, Alan Moorwood, Joop Schaye, Alice Shapley and Toni Songaila. I am grateful to The Royal Society and the organizers for inviting me to take part in such a stimulating Discussion Meeting.

References

Centurión, M., Bonifacio, P., Molaro, P. & Vladilo, G. 1998 Astrophys. J. 509, 620. Cowie, L. L. & Songaila, A. 1998 Nature 394, 44.

Phil. Trans. R. Soc. Lond. A (2000)

NEERING

2046

THE

- INEERING Djorgovski, S. G., Pahre, M. A., Bechtold, J. & Elston, R. 1996 Nature 382, 234.
- Edvardsson, B., Andersen, J., Gustaffson, B., Lambert, D. L., Nissen, P. E. & Tomkin, J. 1993 Astron. Astrophys. 275, 101.
 - Ellison, S. L., Lewis, G. F., Pettini, M., Chaffee, F. H. & Irwin, M. J. 1999 Astrophys. J. 520, 456.
- Ellison, S. L., Songaila, A., Schaye, J., Cowie, L. L. & Pettini, M. 2000 Astrophys. J. (In the press.)
- Fall, S. M. 1996 In The Hubble Space Telescope and the high redshift Universe (ed. N. Tanvir, A. Aragon-Salamanca & J. V. Wall), p. 303. World Scientific.
- Ferrara, A., Pettini, M. & Shchekinov, Y. 2000 Mon. Not. R. Astr. Soc. (In the press.)
- Izotov, Y. I. & Thuan, T. X. 1999 Astrophys. J. 511, 639.

HEMATICAL

FRING HEMATICAI

T

- Wobulnicky, H. A. & Gebhardt, K. 2000 Astr. J. 119, 1608.
- Kudritzki, R. P. 1998 In Stellar astrophysics for the local group (ed. A. Aparicio, A. Herrero & F. Sánchez), p. 149. Cambridge University Press.
- Le Brun, V., Bergeron, J., Boissé, P. & Deharveng, J. M. 1997 Astron. Astrophys. 321, 733.
- Leitherer, C., et al. 1999 Astrophys. J. Suppl. 123, L3.
- Lennon, D. J. 1999 Rev. Mex. Astr. Ap. (In the press.)
- **PHILOSOPHICAL TRANSACTIONS** Lu, L., Sargent, W. L. W., Barlow, T. A., Churchill, C. W. & Vogt, S. S. 1996 Astrophys. J. Suppl. 107, 475.
 - Lu, L., Sargent, W. L. W. & Barlow, T. A. 1998a Astr. J. 115, 55.
 - Lu, L., Sargent, W. L. W., Barlow, T. A. & Rauch, M. 1998b Astrophys. J. (Submitted.)
 - Madau, P., Ferguson, H. C., Dickinson, M. E., Giavalisco, M., Steidel, C. C. & Fruchter, A. 1996 Mon. Not. R. Astr. Soc. 283, 1388.
 - Melnick, J., Terlevich, R. & Terlevich, E. 2000 Mon. Not. R. Astr. Soc. 311, 629.
 - Mo, H. J., Mao, S. & White, S. D. M. 1999 Mon. Not. R. Astr. Soc. 304, 175.
 - Nissen, P. E. & Schuster, W. J. 1997 Astron. Astrophys. 326, 751.
 - Nissen, P. E., Chen, Y. Q., Schuster, W. J. & Zhao, G. 2000 Astron. Astrophys. 353, 722.
 - Pagel, B. E. J. 2000 In Galaxies in the young Universe (ed. H. Hippelein), vol. II. Springer. (In the press.)
 - Pagel, B. E. J., Edmunds, M. G., Blackwell, D. E., Chun, M. S. & Smith, G. 1979 Mon. Not. R. Astr. Soc. 189, 95.
 - Pei, Y. C., Fall, S. M. & Hauser, M. G. 1999 Astrophys. J. 522, 604.
 - Pettini, M. 1999 In *Chemical evolution from zero to high redshift* (ed. J. Walsh & M. Rosa). p. 233. Springer.
 - Pettini, M., Lipman, K. & Hunstead, R. W. 1995 Astrophys. J. 451, 100.
 - Pettini, M., Smith, L. J., King, D. L. & Hunstead, R. W. 1997 Astrophys. J. 486, 665.
 - Pettini, M., Kellogg, M., Steidel, C. C., Dickinson, M., Adelberger, K. L. & Giavalisco, M. 1998 Astrophys. J. 508, 539.
 - Pettini, M., Ellison, S. L., Steidel, C. C. & Bowen, D. V. 1999 Astrophys. J. 510, 576.
 - Pettini, M., Ellison, S. L., Steidel, C. C., Shapley, A. E. & Bowen, D. V. 2000a Astrophys. J. 532, 65.
 - Pettini, M., Steidel, C. C., Adelberger, K. L., Dickinson, M. & Giavalisco, M. 2000b Astrophys. J. 528, 96.
 - Pierce, M. J. & Tully, R. B. 1992 Astrophys. J. 387, 47.
 - Pilyugin, L. S. 1999 Astron. Astrophys. 346, 428.
 - Prantzos, N. & Silk, J. 1998 Astrophys. J. 507, 229.
 - Prochaska, J. X. & Wolfe, A. M. 1999 Astrophys. J. Suppl. 121, 369.
 - Prochaska, J. X. & Wolfe, A. M. 2000 Astrophys. J. 533, L5.

Downloaded from rsta.royalsocietypublishing.org

2048

THE ROYAL

PHILOSOPHICAL TRANSACTIONS

MATHEMATICAL, PHYSICAL & ENGINEERING

THE ROYAL A SOCIETY

PHILOSOPHICAL TRANSACTIONS Ь

M. Pettini

- Rao, S. M. & Turnshek, D. A. 1998 Astrophys. J. 500, L115.
- Rao, S. M. & Turnshek, D. A. 2000 Astrophys. J. (In the press.)
- Rauch, M. 1998 A. Rev. Astron. Astrophys. 36, 267.
- MATHEMATICAL, PHYSICAL & ENGINEERING SCIENCES Ryan, S. G., Norris, J. E. & Beers, T. C. 1996 Astrophys. J. 471, 254.
 - Sargent, W. L. W., Young, P. J., Boksenberg, A. & Tytler, D. 1980 Astrophys. J. Suppl. 42, 41.
 - Seitz, S., Saglia, R. P., Bender, R., Hopp, U., Belloni, P. & Ziegler, B. 1998 Mon. Not. R. Astr. Soc. 298, 945.
 - Songaila, A. & Cowie, L. L. 1996 Astr. J. 112, 335.
 - Steidel, C. C., Dickinson, M. & Persson, S. E. 1994 Astrophys. J. 437, L75.
 - Steidel, C. C., Pettini, M. & Hamilton, D. 1995 Astr. J. 110, 2519.
 - Steidel, C. C., Giavalisco, M., Pettini, M., Dickinson, M. & Adelberger, K. L. 1996 Astrophys. J. 462, L17.
 - Steidel, C. C., Adelberger, K. L., Dickinson, M., Giavalisco, M., Pettini, M. & Kellogg, M. 1998 Astrophys. J. 492, 428.
 - Steidel, C. C., Adelberger, K. L., Giavalisco, M., Dickinson, M. & Pettini, M. 1999 Astrophys. J. 519, 1.
 - Teplitz, H. I. et al. 2000 Astrophys. J. 533, L65.
 - Vogt, N. P. et al. 1997 Astrophys. J. 479, L121.
 - Weinberg, D. H., Katz, N. & Hernquist, L. 1998 In ASP Conf. Series 128, Origins (ed. C. E. Woodward, J. M. Shull & H. A. Thronson), p. 21. San Francisco: Astronomical Society of the Pacific.
 - Yee, H. K. C., Ellingson, E., Bechtold, J., Carlberg, R. G. & Cuillandre, J.-C. 1996 Astr. J. 111, 1783.